**CORPORATE GOVERNANCE REPORT FOR THE QUARTER ENDED JUNE 30, 2021**

Name of Listed Entity **- South West Pinnacle Exploration Limited**
1. Quarter ending **- 30-Jun-2021**

**i. Composition Of Board Of Director**

| | Name of the Director | DIN | PAN | Category (Chairperson /Executive/Non-Executive/ Independent/ Nominee) | Sub Category | Initial Date of Appointment | Date of Appointment | Date of cessation | Tenure | Date of Birth | Whether special resolution passed? | Date of passing special resolution | No. of Directorship in listed entities including this listed entity | No of Independent Directorship in listed entities including this listed entity | No of memberships in Audit/ Stakeholder Committee(s) including this listed entity | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity | Membership in Committees of the Company | Remarks |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|

| | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Mr. | VIKAS JAIN | 00049217 | ACUPJ1235E | C & ED | MD | 27-Nov-2006 | 20-Nov-2020 | | 36 | 24-Sep-1974 | NA | | 1 | 0 | 2 | 0 | AC,SC,NRC | |
| Mr. | PIYUSH JAIN | 00049319 | ADIPJ8400G | ED | MD | 20-Nov-2009 | 20-Nov-2020 | | 36 | 17-Jan-1982 | NA | | 1 | 0 | 1 | 0 | SC | |
| Mr. | ROGER JAMES LORD | 00952295 | ACGPL5708E | NED | | 09-Nov-2020 | | | 21 | 12-Aug-1947 | NA | | 1 | 0 | 0 | 0 | NA | |
| Ms. | SUDHA MAHESHWARI | 06784093 | AKCPB2226R | ID | | 30-Oct-2017 | 30-Oct-2020 | | 36 | 20-Aug-1981 | NA | | 1 | 1 | 1 | 0 | AC, NRC | |
| Mr. | JITENDRA KUMAR MISHRA | 07983426 | AGBPM0509M | ID | | 07-Nov-2017 | 07-Nov-2020 | | 36 | 22-Aug-1970 | NA | | 2 | 2 | 1 | 3 | AC, SC, NRC | |
| Mr. | RAJENDRA PRASAD RITOLIA | 00119488 | ACEPR0093C | ID | | 31-Mar-2019 | 01-Apr-2021 | | 36 | 08-Jul-1948 | NA | | 1 | 1 | 1 | 0 | NA | The¿ Board Of Directors in their meeting held on 01.02.2021 re-appoint him for next 3 years w.e.f 01.04.2021 subject to the approval of members in ensuing AGM. |

| Company Remarks | |
|---|---|
| Whether Regular chairperson appointed | Yes |
| Whether Chairperson is related to MD or CEO | Yes |

**ii. Composition of Committees**

**a. Audit Committee**

| *Sr. No.* | *Name of the Director* | *Category* | *Chairperson/Membership* | *Appointment Date* | *Cessation Date* |
|---|---|---|---|---|---|
| 1 | SUDHA MAHESHWARI | ID | Member | 20-Nov-2017 | |
| 2 | VIKAS JAIN | C & ED | Member | 20-Nov-2017 | |
| 3 | JITENDRA KUMAR MISHRA | ID | Chairperson | 20-Nov-2017 | |

| | |
|---|---|
| Company Remarks | |
| Whether Permanent chairperson appointed | Yes |

**b. Stakeholders Relationship Committee**

| *Sr. No.* | *Name of the Director* | *Category* | *Chairperson/Membership* | *Appointment Date* | *Cessation Date* |
|---|---|---|---|---|---|
| 1 | PIYUSH JAIN | ED | Member | 20-Nov-2017 | |
| 2 | VIKAS JAIN | C & ED | Member | 20-Nov-2017 | |
| 3 | JITENDRA KUMAR MISHRA | ID | Chairperson | 20-Nov-2017 | |

| | |
|---|---|
| Company Remarks | |
| Whether Permanent chairperson appointed | Yes |

**c. Risk Management Committee**

| *Sr. No.* | *Name of the Director* | *Category* | *Chairperson/Membership* | *Appointment Date* | *Cessation Date* |
|---|---|---|---|---|---|

| | |
|---|---|
| Company Remarks | |
| Whether Permanent chairperson appointed | |

**d. Nomination and Remuneration Committee**

| *Sr. No.* | *Name of the Director* | *Category* | *Chairperson/Membership* | *Appointment Date* | *Cessation Date* |
|---|---|---|---|---|---|
| 1 | SUDHA MAHESHWARI | ID | Chairperson | 21-Jul-2020 | |
| 2 | VIKAS JAIN | C & ED | Member | 21-Jul-2020 | |
| 3 | JITENDRA KUMAR MISHRA | ID | Member | 21-Jul-2020 | |

| | |
|---|---|
| Company Remarks | |
| Whether Permanent chairperson appointed | Yes |

iii. **Meeting of Board of Directors**

| **Date(s) of Meeting (if any) in the previous quarter** | **Date(s) of Meeting (if any) in the relevant quarter** | **Whether requirement of Quorum met** | **Number of Directors present** | **Number of Independent Directors present** |
|---|---|---|---|---|
| 01-Feb-2021 | 09-Jun-2021 | Yes | 5 | 3 |
| 17-Feb-2021 | | Yes | 4 | 2 |

| | |
|---|---|
| Company Remarks | |
| Maximum gap between any two consecutive (in number of days) | 111 |

iv. **Meeting of Committees**

| **Name of the Committee** | **Date(s) of meeting during of the committee in the previous** | **Date(s) of meeting of the committee in the relevant quarter** | **Whether requirement of Quorum met (Yes/No)** | **Number of Directors present** | **Number of independent directors present** |
|---|---|---|---|---|---|

| | quarter | | | | |
|---|---|---|---|---|---|
| Audit Committee | 01-Feb-2021 | 12-Apr-2021 | Yes | 3 | 2 |
| Audit Committee | 01-Feb-2021 | 09-Jun-2021 | Yes | 3 | 2 |
| Nomination & Remuneration Committee | 01-Feb-2021 | 09-Jun-2021 | Yes | 3 | 2 |
| Stakeholders Relationship Committee | 01-Feb-2021 | 09-Jun-2021 | Yes | 3 | 1 |

| Company Remarks | |
|---|---|
| Maximum gap between any two consecutive (in number of days) [Only for Audit Committee] | 69 |

v. **Related Party Transactions**

| ***Subject*** | ***Compliance status (Yes/No/NA)*** | ***Remark*** |
|---|---|---|
| Whether prior approval of audit committee obtained | Yes | |
| Whether shareholder approval obtained for material RPT | Not Applicable | |
| Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee | Yes | |

| | |
|---|---|
| Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions | |

**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
    a. Audit Committee - **Yes**
    b. Nomination & remuneration committee - **Yes**

c. Stakeholders relationship committee - **Yes**
d. Risk management committee (applicable to the top 100 listed entities) **- Not applicable**

3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. **- Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.**- Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. **- Yes**
   b. Any comments/observations/advice of Board of Directors may be mentioned here:

| |
|---|
| |

**Name : VAISHALI**
**Designation : Company Secretary & Compliance Officer**

